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hington, D.C. 20231

PATENT APPLICATION 6

EXPRESS MAIL LABEL NO. EL819316220US

37 CFR 1.53(b) UTILITY PATENT APPLICATION TRANSMITTAL

Inventor(s): Youich TEI, Syoji SAKAGUCHI and Katsunori SUZUKI

Title: METHOD FOR PURIFYING A THERMOPLASTIC NORBORNENE RESIN, A SUBSTRATE USING SUCH A RESIN, AND A MAGNETIC RECORDING MEDIUM USING SUCH A SUBSTRATE

Enclosed are:

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- [X] Specification (39 pages), including Abstract and 22 claims.
- sheet of drawing containing 1 Figure (Formal). [X]
- Declaration/Power of Attorney []
- This application is filed pursuant to 37 CFR §1.53(b). The Declaration will be filed pursuant to 37 CFR §1.63. [X]
- [] Assignment and PTO-1595 Cover Sheet
- A Verified Statement to Establish Small Entity Status Under 37 CFR 1.9 and 37 CFR 1.27 []
- IDS and Form PTO-1449 with one reference with english translation [X]
- Preliminary Amendment Under MPEP 506 to reduce filing fees. []
- [X] Certified copy of Priority Document(s) for 35 USC 119 priority claim: <u>JAPAN 2000-111325 filed April 12, 2000</u> ij

Ü The filing fee has been calculated as shown below:

[1]		(Col. 1)	(Col.2)
1971; 17. 1971; 18. 1974; 1974; 1974; 1974; 1974; 1974; 1974; 1974; 1974; 1974; 1974; 1974; 1974; 1974; 1974;	FOR:	NO. FILED	NO. EXTRA *
	BASIC FEE		
	TOTAL CLAIMS	22 - 20 =	* 2
	INDEP CLAIMS	2 - 3 =	*
	I MULTIPLE DEPENDENT CLAIM PRESENTED		

[] MULTIPLE DEPENDENT CLAIM PRESENTED [X] LATE FILING OF DECLARATION [X] ASSIGNMENT RECORDATION FEE

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RATE	FEE
	\$ 355
X 9=	\$
X 40 =	\$
+ 135 = + 65 = + 40 =	\$ \$ \$ \$
TOTAL	\$

OTHER THAN SMALL ENTITY

OR

<u>OR</u> <u>OR</u> OR

OR

OR

RATE	FEE
	\$ 710
X 18 =	\$ 36
X 80 =	\$
+ 270 = + 130 = + 40 =	\$ \$ 130 \$ 40
TOTAL	\$916.00

X 1 The filing fee will be paid with our Response to Missing Parts of Appl
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[] Please charge my Deposit Account No.	in the amount of \$ A duplicate copy of this sheet is enclosed
ſ] A check in the amount of \$	to cover the filing fee and assignment recordation fee is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-4550:

Any additional fees required under 37 CFR 1.16 and 1.17.

Dated: April 6, 2001

Respectfully submitted,

Lyman H. Smith, Reg. No. 44,342 MORRISON LAW FIRM 145 North Fifth Avenue Mt. Vernon, New York 10550 (914) 667-6755

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^{*} If the difference in Col. 1 is less than zero, enter "0" in Col. 2